Docket No. LS/0001.01

Typed or printed name

Signature

Jahn

Smart

1 26/2/#

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Please type a plus sign (+) inside this box + **Application Number** 09/434,703 RANSMITTAL November 5, 1999 **Filing Date** JUL 1 1 2002 **FORM** Bodnar First Named Inventor นิ่sed for all correspondence after initial filing) Group Art Unit 2612 **Examiner Name** Technology Center 260 LS/0001.01 Total Number of Pages in This Submission Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers (for an Application) х Fee Transmittal Form to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Other Enclosure(s) (please Extension of Time Request Address identify below) Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ This statement is not intended to represent that a search has Incomplete Application been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in Sec. 1.56. Copies of references are enclosed. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm John A. Smart Attorney of record Individual name Signature y 8, 200 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 07/08/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

07/08/2002

Date

Signature

	Complete if Known
Under the Paperwork Reduction Act of 1995, no persons are required to re	espond to a collection of information unless it displays a valid OMB control numbe
	U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC
	Approved for use inrough 10/31/2002. OMB 0651-003

O VP OVE	PACE TO A NOMITTAL					
/ O T	EE TRANSMITTAL	Аp				
, mm (C)	for FY 2002	Fili				
JUL 11 DUK O	10111 2002	Fir				
	Patent fees are subject to annual revision.	Ex				

		-
Application Number	09/434,703	
Filing Date	November 5,	1999 RECEIVE
First Named Inventor	Bodnar	TILOLIVLI
Examiner Name	Nguyen, L.	July 1 8 2002
Group Art Unit	2612	
Attorney Docket No.	LS/0001.01	Technology Center 2

METHOD OF PAYMENT				F	EE CALCU	LATION (con	tinued)	
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit	3. <i>A</i>	ADDIT Larg Enti	je	AL FE Sma	ıll			
Account Number 501386	Fee	Fee	Fee		•	ee Description	1	Fee Paid
Oeposit Account LightSurf Technologies, Inc. Name	105		205	65		ate filing fee or oa		
X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - I cover sheet	ate provisional filir	ng fee or	
X Applicant daims small entity status. See 37 CFR 1.27	139		139		Non-English	•		-
Payment Enclosed:		2,520		2,520	-	equest for ex parte		
Check Credit card Order Other	112			920*	Examiner ac			
FEE CALCULATION		1,840			Examiner ac			
. BASIC FILING FEE	115		215	55		r reply within first		
Large Entity Small Entity	116			200		r reply within seco		
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117		217			r reply within third		
101 740 201 370 Utility filing fee	118	1,440	218	720	Extension for	r reply within fourt	h month	
106 330 206 165 Design filing fee	128	1,960	228	980	Extension for	r reply within fifth r	month	
107 510 207 255 Plant filing fee	119	320	219	160	Notice of Ap	peal		
108 740 208 370 Reissue filing fee	120	320	220	160	Filing a brief	in support of an e	ppeal	
114 160 214 80 Provisional filing fee	121	280	221	140	Request for o	oral hearing		
	138	1,510	138	1,510	Petition to ins	stitute a public use	proceeding	
SUBTOTAL (1) (\$)	140	110	240	55	Petition to re	vive - unavoidable		
. EXTRA CLAIM FEES	7 141	1,280	241	640	Petition to re	vive - unintentiona	1	
Fee from Extra Claims <u>below</u> Fee Paid			242		Utility issue f	ee (or reissue)		
	143		243		Design issue			
otal Claims = X = dependent =	144	620	244		Plant issue fe			
Multiple Dependent	122			130	Petitions to t	he Commissioner		
	123		123	50		ee under 37 CFR	1 17(0)	
Large Entity Small Entity	1				_		• • • •	180
Fee Fee Fee Fee Description Code (\$) Code (\$)	126 581		126 581	180 40	Recording ea	of Information Disc ach patent assignn	ment per	
103 18 203 9 Claims in excess of 20		7.40	0.40			es number of prop		
102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid	146	740	246	370	(37 CFR § 1	nission efter final re .129(a))	ejection	
109 84 209 42 ** Reissue independent claims over original patent	149	740	249	370	For each add examined (3	ditional invention to 7 CFR § 1.129(b)	o be)	
110 18 210 9 ** Reissue claims in excess of 20	179	740	279	370	Request for (Continued Examin	ation (RCE)	
and over original patent	169	900	169	900	Request for of a design a	expedited examina application	ation	<u> </u>
SUBTOTAL (2) (\$)	Othe	er fee (s	pecify)			<u></u>	100
**or number previously peid, if greater, For Reissues, see ebove	*Rei	duced b	y Basi	ic Filing	Fee Paid	SUBTOTAL	. (3) (\$)	180

07/08/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Tredemark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

180.00 CH

2